

PERSONAL INFORMATION

Name _____

Spouse _____

Minor(s) _____

Address _____

Marital Status _____

Home Phone _____ Email _____

Business Phone _____ Cellular Phone _____

Birthday _____ Spouse Birthday _____

SSN# _____ Spouse SSN# _____

Minor Birthday _____ Minor Birthday _____

Minor SSN# _____ Minor SSN# _____

Employer _____

Employer Address _____

Type of Business _____

Position _____

Years Employed _____

Spouse Employer _____

Spouse Employer Add _____

Spouse Type of Business _____

Spouse Position _____

Spouse Years Employed _____

Power of Attorney _____

Drivers License

_____ State _____ Issue Date _____ Expiration Date _____

_____ State _____ Issue Date _____ Expiration Date _____

Date _____

Financial Information

Bank Account _____
Annual Income _____
Net Worth _____
Liquid Assets _____

Tax Bracket (check one)

- Low (0-20%)
- Med (20-30%)
- High (30%+)

Risk Tolerance (check one)

- Conservative
- Moderately Conservative
- Moderate
- Moderately Aggressive
- Aggressive

Investment Objective (check one)

- Capital Preservation
- Income & Growth
- Income
- Growth
- Speculation

Investment Time Horizon _____ years

Investment Experience

<u>Investments</u>	<u>Years Experience</u>	<u>Current Holdings</u>
Mutual Funds/ETFs	_____	\$ _____
Annuities	_____	\$ _____
Stocks	_____	\$ _____
Bonds	_____	\$ _____
Alternatives	_____	\$ _____
Other*	_____	\$ _____

*If you have investment experience in other products, please list below

Liquidity Needs (check one)

- Very Important
- Important
- Somewhat Important
- Does not Matter

Ability to quickly and easily convert to cash all or a portion of the investments without experiencing significant loss in value.